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PTO/SB/08a (09-08)

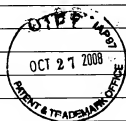
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Application Number	10627921
Filing Date	2003-07-28
First Named Inventor	Steven M.H. Wallman
Art Unit	3692
Examiner Name	Meinecke Diaz, Susanna M.
Attorney Docket Number	10392/460044



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GAU: 3692

1	JON NEWBERRY, "Bye bye broker", ABA Journal, Mar. 1998, pg. 90, Vol. 84, Chicago, US	<input type="checkbox"/>
2	"Frankfurt to Launch Trading System", Wall Street Journal (Europe), Oct. 7th, 1997, pg. 14, Brussels, BE	<input type="checkbox"/>
3	"DIRECT-BUY STOCKS CUT OUT MIDDLE MAN", Palm Beach Post, Mar. 9, 1998, pg. 17, Palm Beach, US	<input type="checkbox"/>

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Examiner Signature	/Susanna M. Diaz/	Date Considered	11/20/2008
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